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Date

by Kay Buler  
Signature

Kay Buler  
Printed Name

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Doherty et al.  
Serial No.: 09/234,208  
Filed: January 20, 1999  
For: HER-2 BINDING ANTAGONISTS  
Art Unit: 1642  
Examiner: Susan Ungar  
Docket No.: 49321-1  
Date: May 2, 2006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TO ACCOMPANY RESPONSE AND AMENDMENT UNDER 37 CFR 1.111

Sir:

Pursuant to the requirements in 37 C.F.R. § 1.56, and in conformance with 37 C.F.R. 1.97 and 1.98, Applicants hereby submit a Supplemental Information Disclosure Statement. Applicants respectfully note that the Examiner failed to initial the form for the two below-listed patent references.

Applicants enclose the two listed documents on the enclosed Information Disclosure Statement by Applicant listing form (formerly 1449).

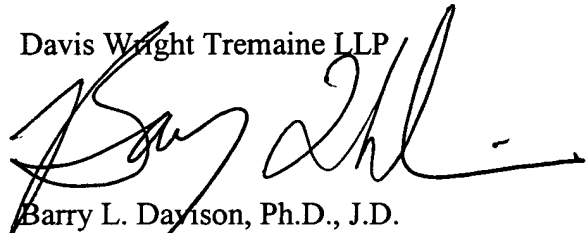
These two references were previously disclosed and provided in Applicants' IDS of 24 April 2005 that was considered by the Examiner on 27 October 2005, but were indicated as "being missing from the file" by the Examiner (and therefore not 'initialed' by the Examiner). The order of these references, or the inclusion of any comments thereon, should not be construed to suggest their relative pertinence. The filing of this Information Disclosure Statement should not be construed to suggest that a patentability search has been made or that the cited references are prior art or are considered to be material to patentability.

Applicants respectfully request consideration of the foregoing documents during examination of the above-identified patent application.

No fee is deemed due. However, the Commissioner is authorized to charge any additional fees due and credit any overpayment to Deposit Account No. 04-0258 of Davis Wright Tremaine LLP. An extra copy of this document is enclosed.

Respectfully submitted,

Davis Wright Tremaine LLP



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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/234,208
Filing Date	January 20, 1999
First Named Inventor	Doherty
Art Unit	1642
Examiner Name	Susan Ungar
Attorney Docket Number	49321-1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>d</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	C113	WO0161356	08-23-2001	Oregon Health Sciences University		
	C115	WO05/016966	02-24-05	Receptor BioLogix, Inc.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04 <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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